

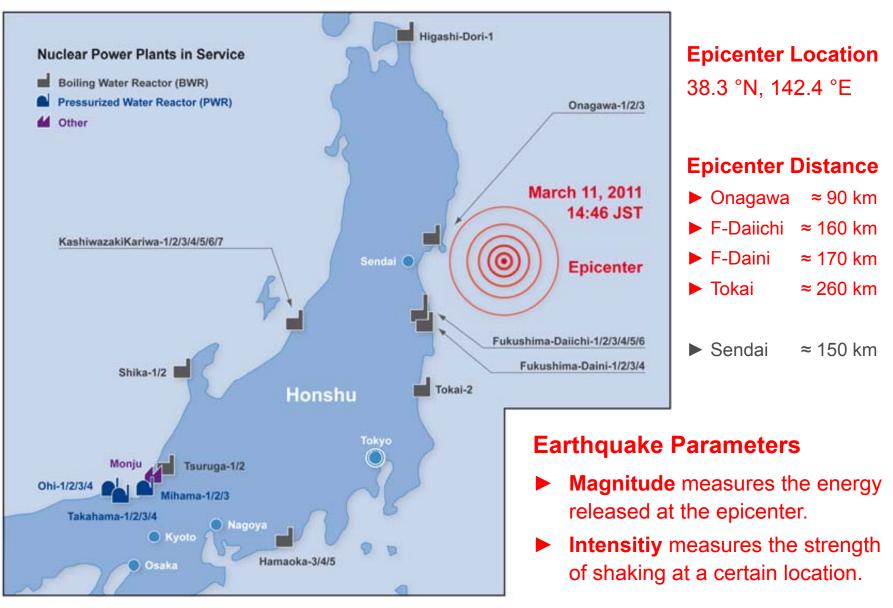
# Earthquake and Tsunami in Japan on March 11, 2011 and Consequences for Fukushima and other Nuclear Power Plants

**Status: April 15, 2011** 

Dr.-Ing. Ludger Mohrbach
Thomas Linnemann, Georg Schäfer, Guido Vallana

### Tohoku-Taiheiyou-Oki Earthquake

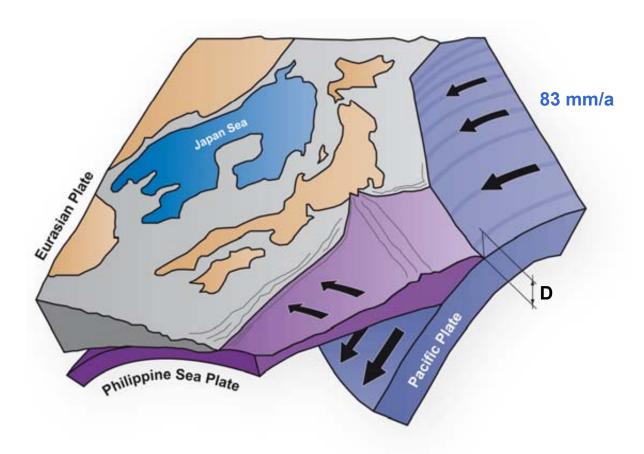




Source: GRS, 2011 F: Fukushima JST: Japan Standard Time

# Tohoku-Taiheiyou-Oki Earthquake





- Vertical Displacement
  D ≈ 7 to 10 m
- ► Peak Displacement  $D_{max} \approx 17 \text{ to } 25 \text{ m}^{-1}$
- ► Rupture Zone
  A ≈ 500 km x 100 km
- ► Hypo Center Depth  $Z_H \approx 20 \text{ to } 25 \text{ km}$
- ► Crack Velocity
  v ≈ 2 km/s
- ➤ Water Depth
  Z≈8 km

- Nough Estimate of Water Volume Involved
  V ≈ A · ¼ D ≈ 500 km · 100 km · 2,5 m = 125 km³
- ► Consequence: Sudden displacement of a huge water volume ► Tsunami.

# **Topographic Effects**



Relative horizontal displacement of Japan, based on GPS data:

≈ 5.2 m (maximum)

Displacement on rupture surface:

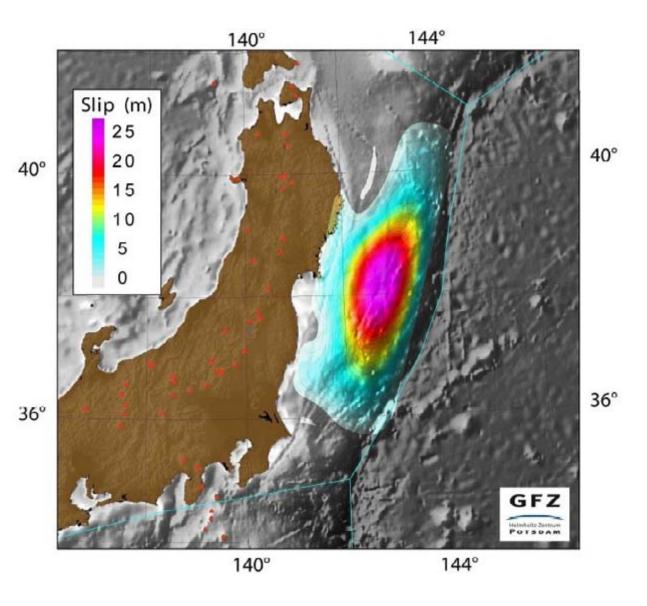
≈ 25 to 27 m

Rupture length (aftershock):

≈ 400 km

► Sea bed lifting:

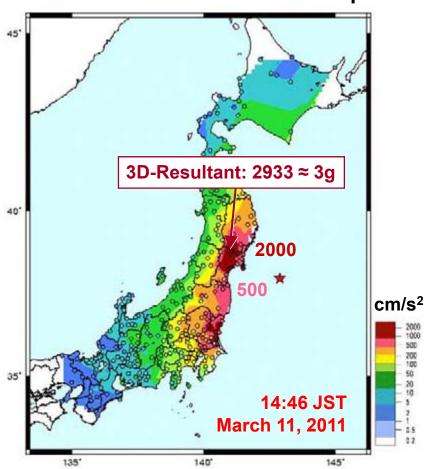
up to 7 m



# Tohoku-Taiheiyou-Oki Earthquake



### **Peak Accelerations Contour Map**



	Acceleration 1) in cm/s <sup>2</sup>				
Fukushima	Horiz	Vertical			
	N-S	E-W			
Daiichi-1	460	447	258		
Daiichi-2	348	550	302		
Daiichi-3	322	507	231		
Daiichi-4	281	319	200		
Daiichi-5	311	548	256		
Daiichi-6	298	444	244		
Design Basis	441	438	412		
Daini-1	254	230	305		
Daini-2	243	196	232		
Daini-3	277	216	208		
Daini-4	210	205	288		
Design Basis	415	415	504		
Shutdown <sup>2</sup> )	135 t	100			

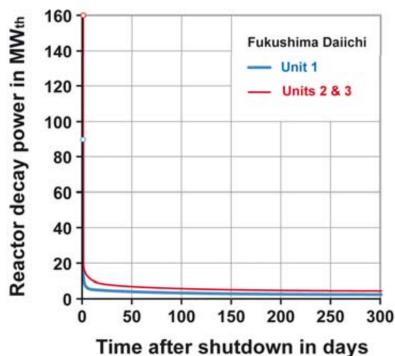
► Measured accelerations were up to 26 % higher than earthquake design basis values for Fukushima Daiichi (≈ 10 % for Onagawa).

### **Initial Response to Earthquake**



### March 11, 2011, 14:46 JST ► Seconds later

- ► Automatic shutdown (scram) of all operating reactor units within seconds at Onagawa (3), Fukushima Daiichi (3), Fukushima Daiini (4) and Tokai (1).
- ➤ Start of the cooling systems to remove residual heat, with an initial value of 6 to 7 % of previous core power and decreasing steadily to less than 0.5 % after some days.
- ► Turbine room fire at Onagawa-1 (exstinguished hours later).
- Earthquake-induced loss of offsite power at Fukushima-Daiichi.
- Start of some emergency diesel generators as well as relevant cooling systems.
- ► Typical redundancy: 2 + 1 per unit.



### **Initial Response to Tsunami**



### **About 55 minutes later**

- At least Fukushima Daiichi is struck by the tsunami, with a wave height (≈ 14 m) far beyond levee design height (5.7 m) taking out all multiple sets of backup emergency diesel generators (common mode failure).
- ▶ Reactor cooling by steam-driven emergency pumps, referred to as reactor core isolation pumps. The relevant auxiliary systems require emergency battery power (8 h).



- ► Operators follow:
  - abnormal operating procedures,
  - emergency operating procedures, later
  - severe accident management guidelines (SAMGs).

# **Tsunami Impact at Fukushima Daiichi**





# **Tsunami Impact at Fukushima Daini**





2 to 3 m inundation height on the side of unit 1 building.

Source: Tepco, 2011

### **Tsunami**



Maximum Wave Height ¹) ≈ 23 m

**► Travel Time** from

► Epicenter to Shore 15 min

► Epicenter to Fukushima 55 min

► Arrival at Fukushima Daiichi 15:41 JST

► Wave Height <sup>2</sup>)

▶ at Fukushima Daiichi

► at Fukushima Daini ≈ 10 m

► Protecting Levee Height

► Fukushima Daiichi

► Fukushima Daini 5.2 m

**▶** Ground Level of Reactor Buildings

► Fukushima Daiichi ≈ 10 m ←

► Fukushima Daini (minimum) ≈ 7 m

► Onagawa ≈ 20 m





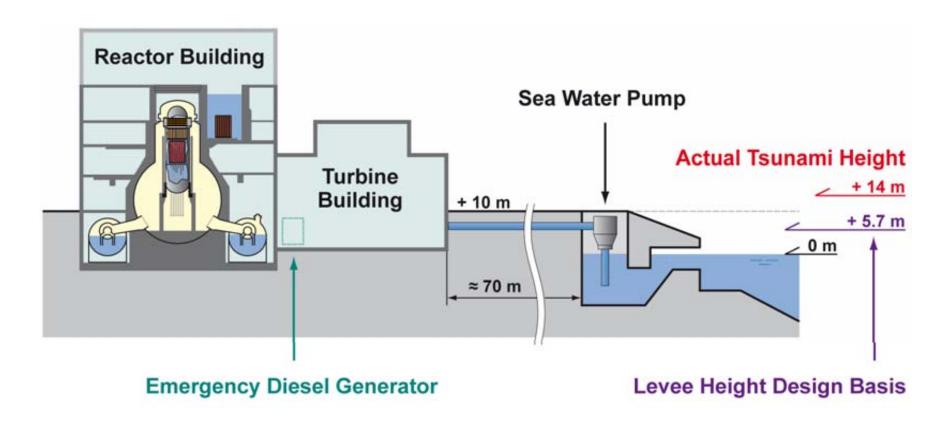
Practically all damages at Fukushima Daiichi were caused by the tsunami.

≈ 14 m

5.7 m

### Tsunami and Fukushima Daiichi Heights





- ► At Fukushima Daiichi, countermeasures for tsunamis had been established with a design basis height of **5.7 m** above the lowest Osaka Bay water level.
- As additional safety margin, the ground level had been set to as + 10 m.

# Design of Fukushima Daiichi Unit 1



Reactor Service Floor (Steel Construction)

**Concrete Reactor Building** (Secondary Containment)

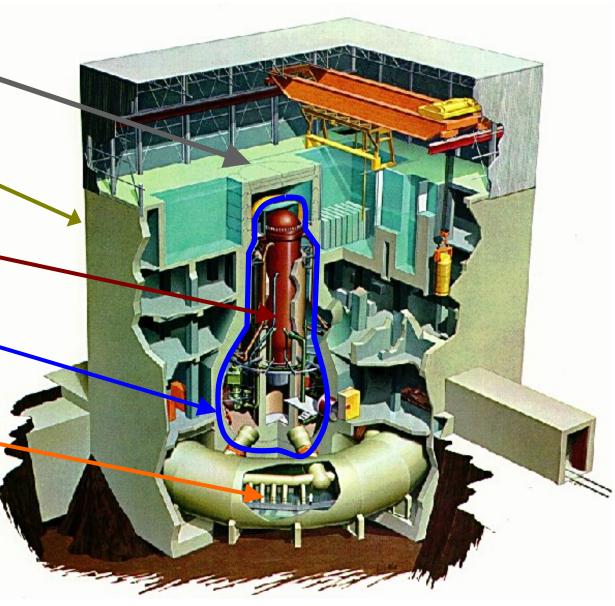
**Reactor Pressure Vessel** 

Primary Containment (Drywell)

**Pressure Suppression Pool** (Wetwell)

► Reactor: BWR-3

**▶ Containment**: Mark-l

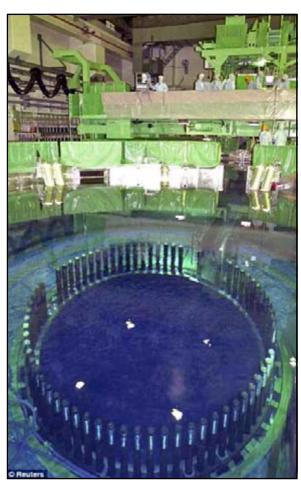


# **Boiling Water Reactor Internals**



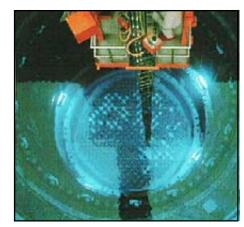
### **Fuel Assembly**



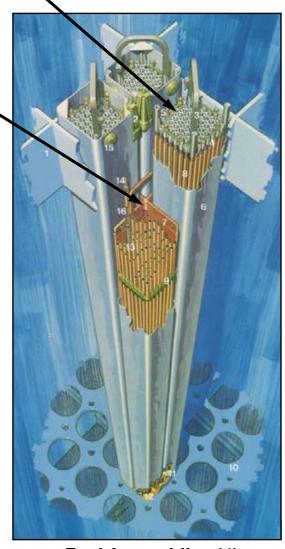


**Reactor Building Internal View** 





**Reactor Core** 



Fuel Assemblies (4)

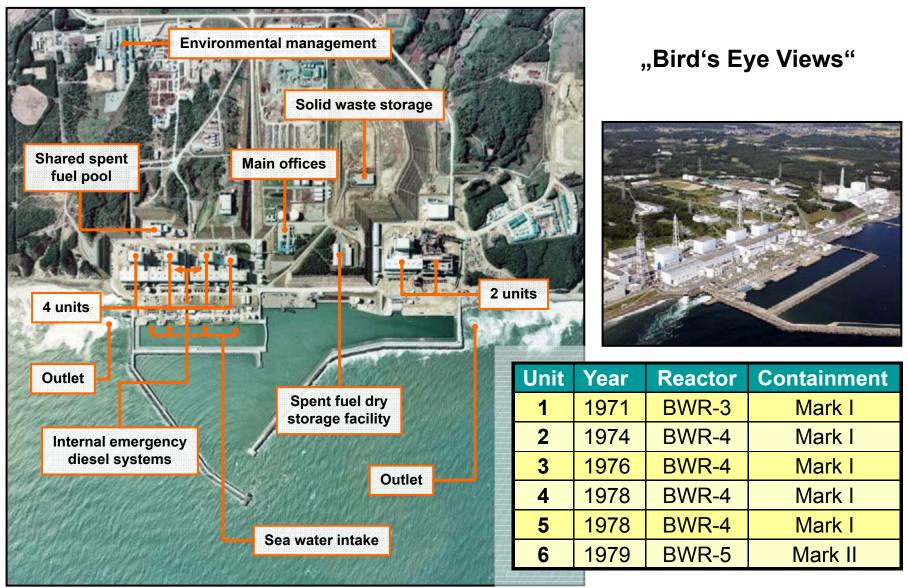
### **Fukushima Daiichi Aerial View**





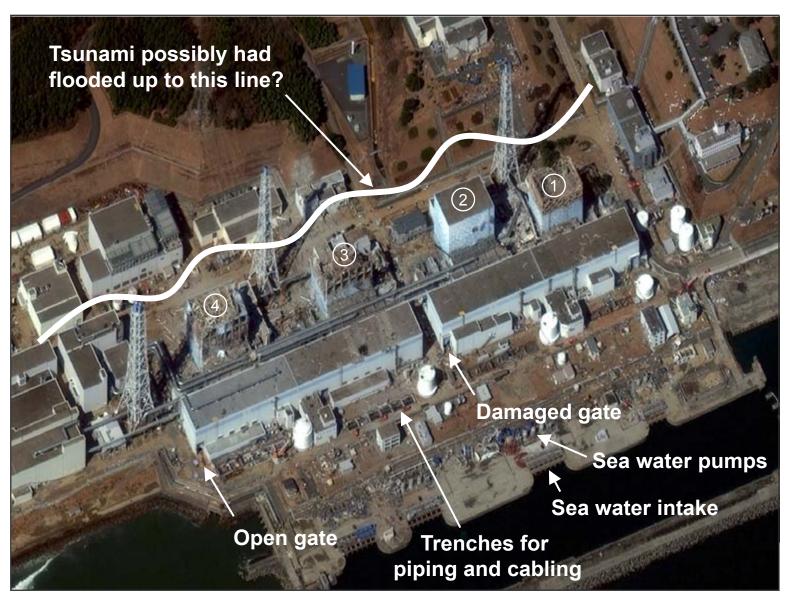
# **Fukushima Daiichi Site Layout**





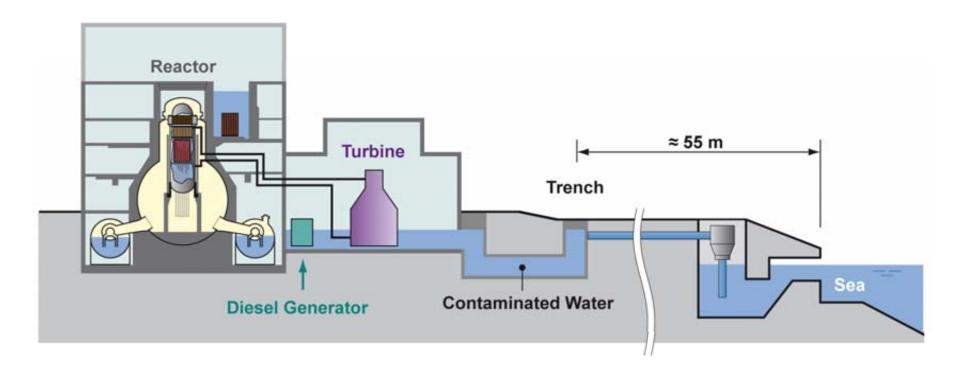
### **Fukushima-Daiichi After Tsunami**





### Flooded Trenches for Piping and Cabling

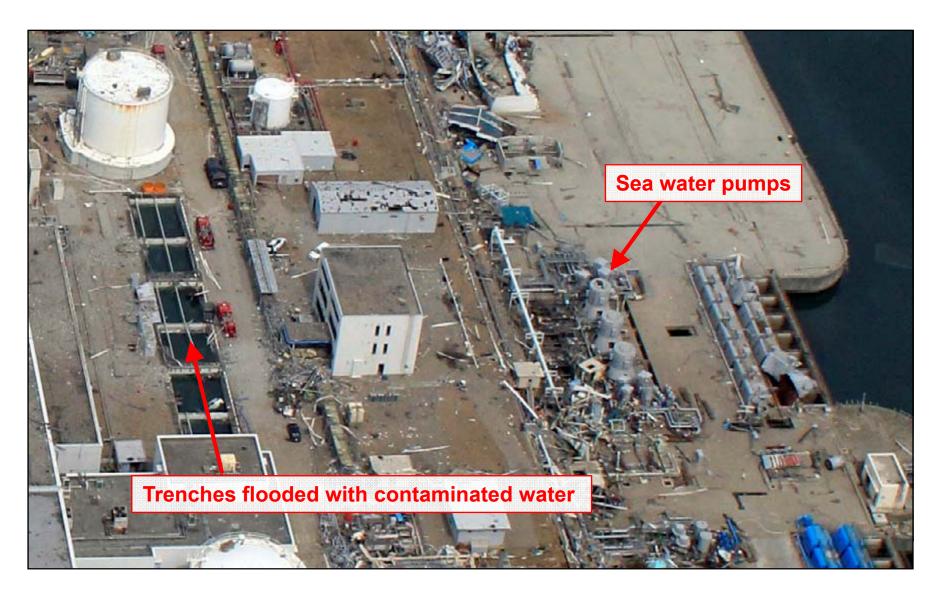




- ► Each unit has an underground trench for piping and cabling that runs from the basement of the turbine building.
- ► These trenches were separately found to be flooded.
- Direct results of the tsunami that overwhelmed the power plant.

# Flooded Trenches for Piping and Cabling





### The Fukushima Daiichi Accident



► Question: Is this accident a matter of residual risk of nuclear energy?

History data of earthquake-induced tsunamis with maximum amplitudes above 10 m hitting the coasts of Japan and the Kuril Islands (Russia) over the past 513 years								
Date and C	ountry	Affected Region	Earthquake <sup>1</sup> )	Tsunami ²)	Victims			
11.03.2011	Japan	Japan	M = 9.0	23 m	> 10 000			
04.10.1994	Russia	Kuril Islands	M = 8.3	11 m	Not specified			
12.07.1993	Japan	Sea of Japan	M = 7.7	31.7 m	330			
26.05.1983	Japan	Noshiro	M = 7.7	14.5 m	103			
07.12.1944	Japan	Kii Peninsula	M = 8.1	10 m	40			
02.03.1933	Japan	Sanriku	M = 8.4	30 m	3 000			
01.09.1923	Japan	Tokaido	M = 7.9	12 m	2 144			
07.09.1918	Russia	Kuril Islands	M = 8.2	12 m	50			
15.06.1896	Japan	Sanriku	M = 7.6	38 m	26 360			
24.12.1854	Japan	Nankaido	M = 8.4	28 m	3 000			
29.06.1780	Russia	Kuril Islands	M = 7.5	12 m	12			
24.04.1771	Japan	Ryukyu Islands	M = 7.4	85 m	13 500			
28.10.1707	Japan	Japan	M = 8.4	11 m	30 000			
31.12.1703	Japan	Tokaido-Kashima	M = 8.2	10,5 m	5 200			
02.12.1611	Japan	Sanriku	M = 8.0	25 m	5 000			
20.09.1498	Japan	Nankaido	M = 8.6	17 m	200			

### Simple Estimation:

Within the past 513 years 16 tsunamis with maximum amplitudes above 10 m and induced by earthquakes of magnitudes between 7.4 and 9.2 have been recorded for Japan and the adjacent Kuril Islands (Russia).

### Experienced Frequency:

 $f = 16/513 a \approx 0.0312 a^{-1}$ 

Thus, within a **thirty** years period one severe tsunami with a maximum amplitude of more than 10 m has to be expected in Japan!

► No, it is rather a matter of obviously having ignored a high specific risk!

### Severe Accident Management Measures



### March 11, 2011, 14:46 JST ► Some hours later at Fukushima-Daiichi

- ► No restoration of offsite power possible, delays in obtaining and connecting portable diesel generators.
- ► After running out of batteries, loss of heat sink for residual heat.
- ► Reactor temperatures increase and reactor water levels decrease, eventually uncovering and overheating the reactor cores of units 1 to 3.
- ► Hydrogen production due to oxidation processes in the reactor cores, with main contributions from fuel cladding (Zircaloy) steam reactions at temperatures above ≈ 850 °C (exothermal reaction reinforces the reactor core heatup from radioactive decay power).
- ▶ Primary leaks or operator-initiated venting of the reactor cooling systems to relieve the steam pressure (design: 70 bar).
- ► Release of energy and hydrogen into the inertised primary containment (Drywell) causing primary containment temperatures and pressures to increase (Fukushima Daiichi units 1 to 3).

Source: FPL, 2011 JST: Japan Standard Time

# **Event Sequence – Accident Progression**

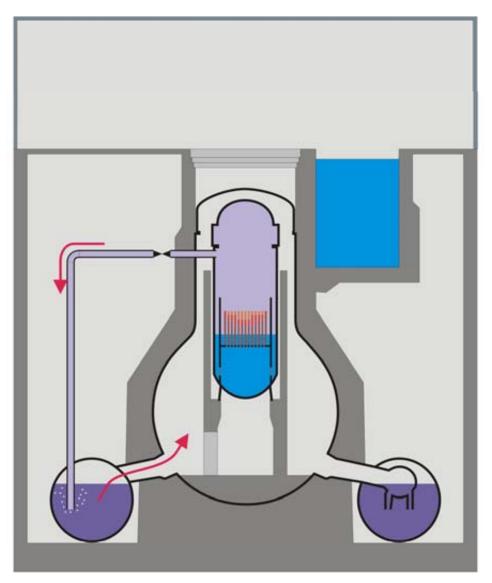


### **Temperature Escalation Phase**

- ► About 75 % of the core cooled by steam only.
  - Cladding temperatures exceed ≈ 1200 °C.
  - Start of significant zirconium oxidation in steam atmosphere.

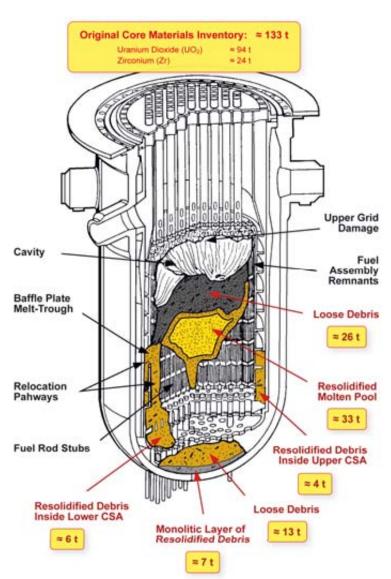
$$Zr + 2 H_2 0 \triangleright ZrO_2 + 2 H_2 + Heat$$

- Exothermal reaction leads to an additional core heatup.
- Oxidation of 1 kg of zirconium generates ≈ 44.2 g of hydrogen.
- Hydrogen production:
  - ► ≈ 300 to 600 kg in unit 1,
  - ► ≈ 300 to 1000 kg in units 2 & 3.
- ► Produced Hydrogen is pushed via the wetwell into the drywell.



# **TMI-2 Reactor Core Endstate Configuration**





- Post-accident analyses indicated that ≈ 70 % of core materials had been displaced or damaged.
- ► Total hydrogen mass produced:

This corresponds to a hydrogen volume of about 5500 to 6000 m<sup>3</sup> at temperatures between 20 and 50 °C and atmospheric pressure according to the equation of state for an ideal gas:

$$V = \frac{m \cdot R \cdot T}{p \cdot M}$$

with

m mass

M molar mass

p pressure

R universal gas constant

T absolut temperature in K

V volume

Complete oxidation of the zirconium inventory would have led to a hydrogen mass of ≈ 1061 kg.

### **Severe Accident Management Measures**



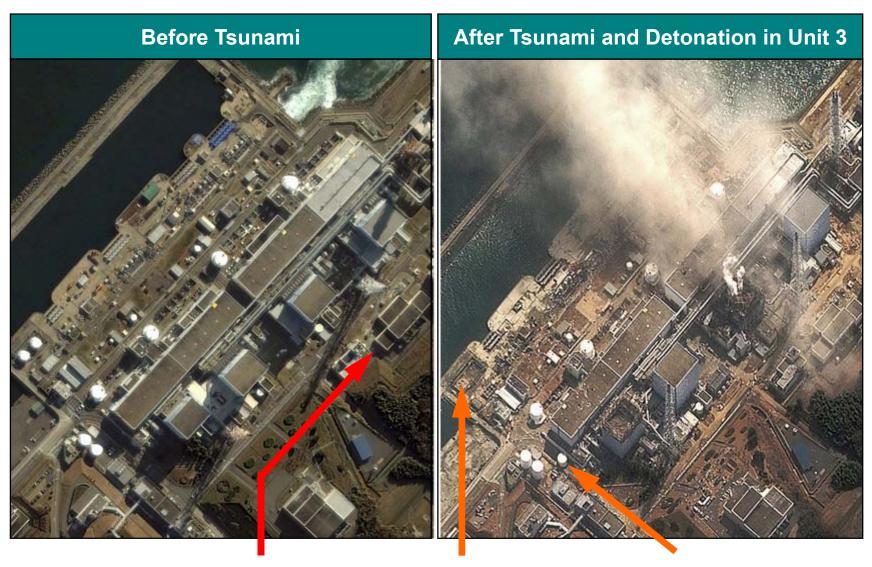
- ► Fukushima Daiichi Units 1 to 3: Operator actions to vent the primary containments and to control primary containment pressures and hydrogen levels (required to protect the primary containments from failure).
- ▶ Primary containment venting through a filtered (?) path that travels through a duct work in the secondary containment to an elevated release point on the service (refuel) floor on top of the reactor building.
- ► Hydrogen explosions on service floor of units 1 and 3. Basic requirement: hydrogen concentrations above the lower flammable limit of hydrogen in air (i.e. above 4 volume percent) and activating spark (unit 2 reactor building had eventually been damaged by hydrogen detonation at unit 3).





### **Aerial Views at Fukushima Daiichi**





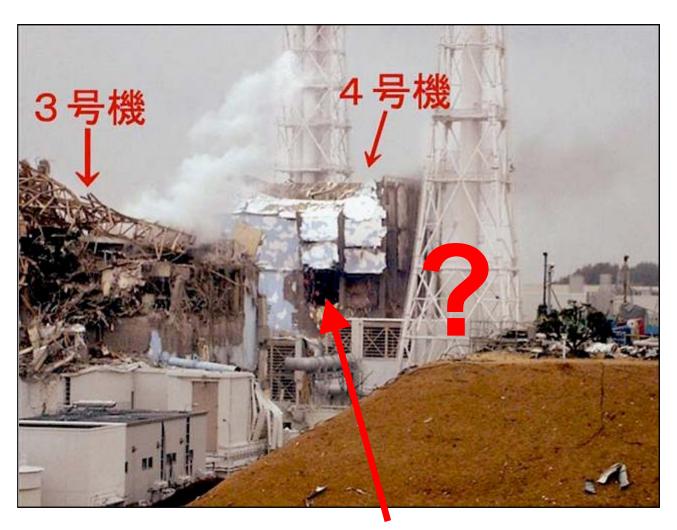
**Shared spent fuel pool building** 

Missing heavy oil tanks

Displaced oil tank?

# Unit 3 and Unit 4 after Hydrogen Explosions

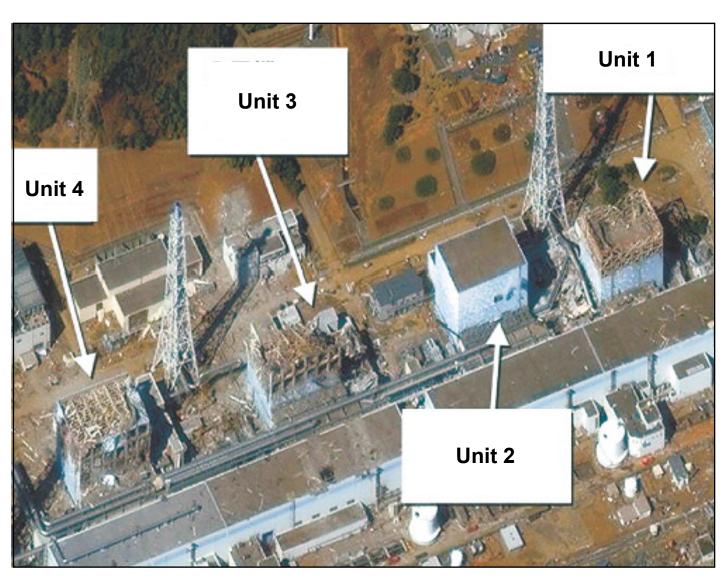




Explosion in concrete part of the reactor building of unit 4, although no fuel inside of reactor!

# **Units 1 to 4 after Hydrogen Explosions**





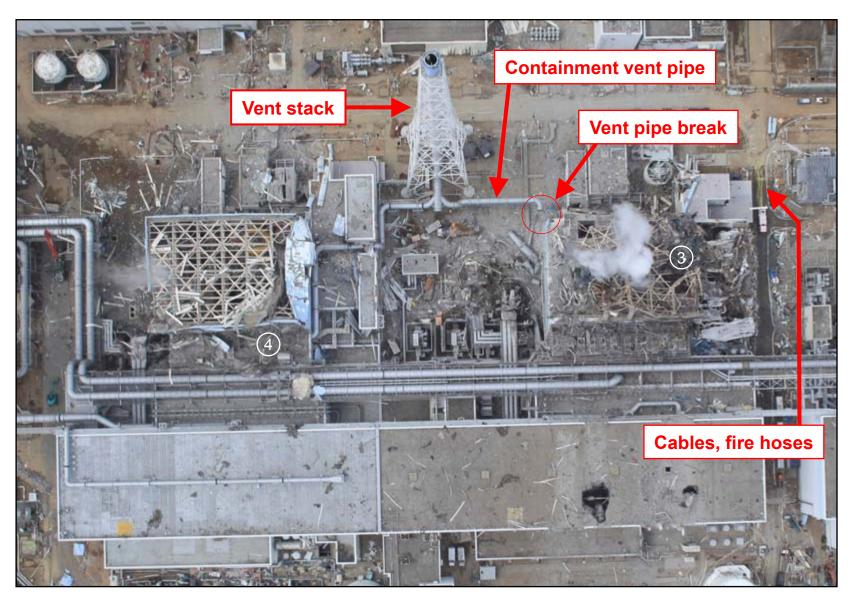
# **Aerial View after Hydrogen Explosions**





# **Aerial View after Hydrogen Explosions**





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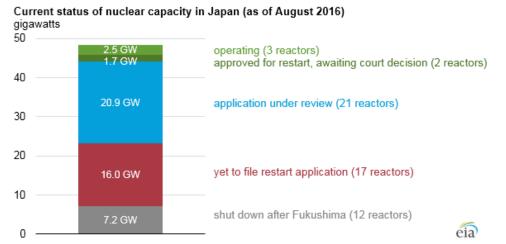
> 2016

> 2015

> 2014

SEPTEMBER 13, 2016

# Five and a half years after Fukushima, 3 of Japan's 54 nuclear reactors are operating



Source: U.S. Energy Information Administration, based on Institute of Energy Economics, Japan, and IAEA Power Reactor Information System

Since the accident at Fukushima Daiichi in March 2011 and the subsequent shutdown of nuclear reactors in Japan, five reactors have received approval to restart operations under the new safety standards imposed by Japan's Nuclear Regulation Authority (NRA). Only three of those reactors are currently operating. Applications for the restart of 21 other reactors, including 1 under construction, are under review by the NRA. Some reactors that meet the new NRA safety standards and have been approved to restart continue to face legal or political opposition that may delay or forestall their restart.

After the Fukushima accident, all 54 of Japan's reactors were shut down. Twelve reactors totaling 7.2 gigawatts (GW) were permanently closed. Restart applications for 20 previously operating reactors (totaling 19.5 GW) and 1 new reactor under construction (the 1.4 GW Oma Nuclear Power Station) have been filed with the NRA. The remaining 17 reactors (16 GW) have yet to submit restart applications. There is still uncertainty about whether some of these reactors can meet the new NRA safety regulations, particularly regulations regarding the ability to



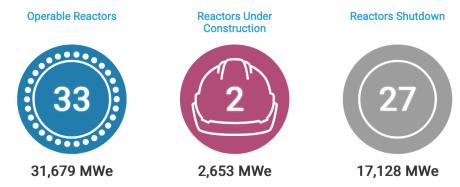
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### **Nuclear Power in Japan**

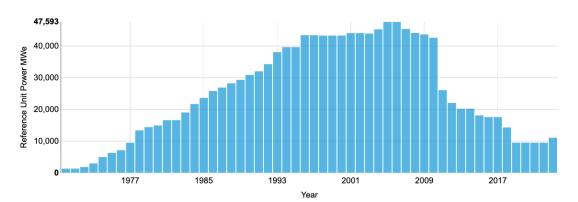
(Updated August 2023)

- Japan needs to import about 90% of its energy requirements.
- Its first commercial nuclear power reactor began operating in mid-1966, and nuclear energy has been a
  national strategic priority since 1973. This came under review following the 2011 Fukushima accident
  but has been confirmed.
- Up until 2011, Japan was generating some 30% of electricity from its reactors and this was expected to increase to at least 40% by 2017. The plan is now for at least 20% by 2030, from a depleted fleet.
- The first two reactors restarted in August and October 2015, with a further nine having restarted since.

  16 reactors are currently in the process of restart approval.



### Operable nuclear power capacity



### Contents

**Electricity sector** 

Nuclear power industry

**Energy policy** 

Reactor development, 1970 onwards

Licence extension and 30-year

reviews

Particular plants: under construction and planned

Fast neutron reactors

**Public opinion** 

Notes & references

**Related Information** 

https://worldnuclear.org/informationlibrary/country-profiles/countriesg-n/japan-nuclear-power.aspx



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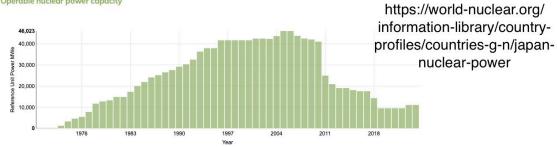
OPERABLE REACTORS 31,679 MWe

REACTORS UNDER CONSTRUCTION 2,653 MWe

REACTORS SHUTDOWN 17.155 MWe

### **Electricity sector**

### Operable nuclear power capacity



### Electricity sector

### Operable reactors in Japan

N	Mandal	Bendan	Reference Unit	Cald Commention	
Name	Model	Reactor Type	Power (MWe)	Grid Connection	
Genkai 3	M (4-loop)	PWR	1,127	1993-06	
<u>Genkai 4</u>	M (4-loop)	PWR	1,127	1996-11	
<u>Hamaoka 3</u>	BWR-5	BWR	1,056	1987-01	
Hamaoka 4	BWR-5	BWR	1,092	1993-01	
Hamaoka 5	ABWR	BWR	1,325	2004-04	
<u>Higashi Dori 1</u> ( <u>Tohoku</u> )	BWR-5	BWR	1,067	2005-03	
lkata 3	M (3-loop)	PWR	846	1994-03	
Kashiwazaki Kariwa 1	BWR-5	BWR	1,067	1985-02	
Kashiwazaki Kariwa 2	BWR-5	BWR	1,067	1990-02	
Kashiwazaki Kariwa 3	BWR-5	BWR	1,067	1992-12	
Kashiwazaki Kariwa 4	BWR-5	BWR	1,067	1993-12	
Kashiwazaki Kariwa 5	BWR-5	BWR	1,067	1989-09	
Kashiwazaki Kariwa D	ABWR	BWR	1,315	1996-01	
Kashiwazaki Kariwa 7	ABWR	BWR	1,315	1996-12	
<u>Mihama 3</u>	M (3-loop)	PWR	780	1976-02	
Ohi 3	M (4-loop)	PWR	1,127	1991-06	
Ohi 4	M (4-loop)	PWR	1,127	1992-06	
Onagawa 2	BWR-5	BWR	796	1994-12	
Onagawa 3	BWR-5	BWR	796	2001-05	
Sendai 1	M (3-loop)	PWR	846	1983-09	
Sendai 2	M (3-loop)	PWR	846	1985-04	
Shika 1	BWR-5	BWR	505	1993-01	
Shika 2	ABWR	BWR	1,108	2005-07	
Shimane 2	BWR-5	BWR	789	1988-07	
Takahama 1	M (3-loop)	PWR	780	1974-03	
Takahama 2	M (3-loop)	PWR	780	1975-01	
Takahama 3	M (3-loop)	PWR	830	1984-05	
Takahama 4	M (3-loop)	PWR	830	1984-11	
Tokai 2	BWR-5	BWR	1,060	1978-03	
Tomari 1	M (2-loop)	PWR	550	1988-12	
Tomari 2	M (2-loop)	PWR	550	1990-08	
Tomari 3	M (3-loop)	PWR	866	2009-03	
Tsuruga 2	M (4-loop)	PWR	1,108	1986-06	

### Status of nuclear power plants in Japan

Reactor	Status	Туре	Applied	Basic design	Detailed design	Safety programme	Pre- service inspection	Restart date (commercial operation)			
Genkai 3	OP	PWR	July 2013	Jan 2017	Aug 2017	Sept 2017	СО	May 2018			
Genkai 3		After installation of the specialized safety facility (SSF), Genkai 3 started supplying power to the grid again in December 2022 and returned to commercial operation in January 2023.									
	OP	PWR	July 2013	Jan 2017	Sept 2017	Sept 2017	СО	June 2018			
Genkai 4	Success	Periodic inspection from Sept 2022 to Feb 2023, the deadline for the installation of the SSF. Successful installation of SSF announced in Feb 2023. Power generation resumed in Feb 2023, return to commercial operation expected in March 2023.									
Hamaoka 3	OP	BWR	June 2015	UR	NA	NA					
Hamaoka 4	OP	BWR	Feb 2014	UR	UR	UR					
Higashidori 1 Tohoku	OP	BWR	June 2014	UR	UR	UR					
Ikata 3	OP	PWR	July 2013	July 2015	Mar 2016	Apr 2016	СО	Sept 2016			
Kashiwazaki- Kariwa 6	OP	ABWR	Sept 2013	Dec 2017	UR	UR					
Kashiwazaki- Kariwa 7	OP	ABWR	Sept 2013	Dec 2017	Oct 2020	Oct 2020	UR				
Kariwa /	End date	e of work	on safety m	neasures is	undecided.						
	OP	PWR	Mar 2015	Oct 2016	Oct 2016	Nov 2016	СО	June 2021			
Mihama 3	The NRA approved a 20-year licence extension (beyond the 40-year initial licence period) in Nov 2016. Work on safety measures completed Sept 2020. Final approval for restart granted Apr 202 Restarted June 2021. Shutdown Oct 2021 due to missing the deadline for the installation of the SSF, which was later completed on 28 July 2022 Restart delayed due to water leak in auxiliary reactor building. Restarted in September 2022.							t granted Apr 2021. Istallation of the			

Ohi 3	OP	PWR	July 2013	May 2017	Aug 2017	Sept 2017	СО	Apr 2018	
Oni 3			2022 due ed in Janua		dline of the i	nstallation of S	SF on 24 Aug	ust 2022. Power	
Ohi 4	OP	PWR	July 2013	May 2017	Aug 2017	Sept 2017	СО	June 2018	
Onagawa 2	OP	BWR	Dec 2013	Feb 2020	Dec 2021	Dec 2021	СО		
onagawa z	Work on	safety m	easures is (	ongoing. P	ower genero	ation scheduled	to resume in	September 2024.	
Sendai 1	OP	PWR	July 2013	Sept 2014	Mar 2015	May 2015	со	Aug 2015	
Sendai 2	OP	PWR	July 2013	Sept 2014	May 2015	May 2015	СО	Nov 2015	
Shika 2	OP	ABWR	Aug 2014	UR	UR	UR		Expected January- March 2026	
Shimane 2	OP	BWR	Dec 2013	Sept 2021	UR	UR	UR		
	Work on	safety m	easures is (	ongoing. P	ower genero	ation scheduled	to resume in	August 2024.	
Takahama 1	OP	PWR	Mar 2015	Apr 2016	June 2016	Feb 2021	со	August 2023	
Takahama 2	OP	PWR	Mar 2015	Apr 2016	June 2016	СО	со	September 2023	
Takahama 3	OP	PWR	July 2013	Feb 2015	Aug 2015	Oct 2015	СО	Feb 2016	
Takahama 4	OP	PWR	July 2013	Feb 2015	Oct 2015	Oct 2015	СО	June 2017	
	OP	BWR	May 2014	Sept 2018	Oct 2018	UR	UR		
Tokai 2	Novemb (SSF) wo works fo	The NRA approved a 20-year licence extension (beyond the 40-year initial licence period) in November 2018. Work on safety measures including the installation of specialized safety facility (SSF) was to be completed in September 2024. In October 2023 JAPC suspended construction works for the unit when multiple gaps near the seawall's steel reinforcement pillars were discovered. JAPC had originally planned to restart Tokai 2 by 2025.							
		ed. JAPC	nad origina	lly planned	to restart 7	Tokai 2 by 2025	).	idrs were	
Tomari 1	OP	PWR	July 2013	lly planned UR	to restart 7	Tokai 2 by 2025	5.	lars were	
Tomari 1 Tomari 2	OP OP	Ī	July		to restart T	Tokai 2 by 2025	5.	drs were	
		PWR	July 2013	UR	to restart 1 UR	Tokai 2 by 2025 UR	5.	drs were	
Tomari 2	OP	PWR PWR	July 2013 July 2013	UR UR	UR UR	UR UR	5.	drs were	
Tomari 2 Tomari 3	OP OP OP Japan's	PWR PWR PWR PWR	July 2013 July 2013 July 2013 Nov 2015	UR UR UR UR ukuthority co	UR UR UR NA oncluded in	UR UR UR	unit does not		
Tomari 2 Tomari 3	OP OP OP Japan's	PWR PWR PWR PWR	July 2013 July 2013 July 2013 Nov 2015	UR UR UR UR ukuthority co	UR UR UR NA oncluded in	UR UR UR UR UR UR UR	unit does not		
Tomari 2 Tomari 3 Tsuruga 2 Total applied	OP OP OP Japan's	PWR PWR PWR PWR	July 2013 July 2013 July 2013 Nov 2015 egulation Astandards of	UR UR UR UR ukuthority co	UR UR UR NA oncluded in	UR UR UR UR UR UR UR	unit does not	comply with	

### JAPAN / FOCUS

# Japan shifting back to nuclear to ditch coal and power AI



A Tokyo Electric Power Co. employee opens a watertight door inside the Unit 7 reactor building at the Kashiwazaki-Kariwa nuclear power station in Kashiwazaki, Niigata Prefecture, on Aug. 6. | AFP-JIJI

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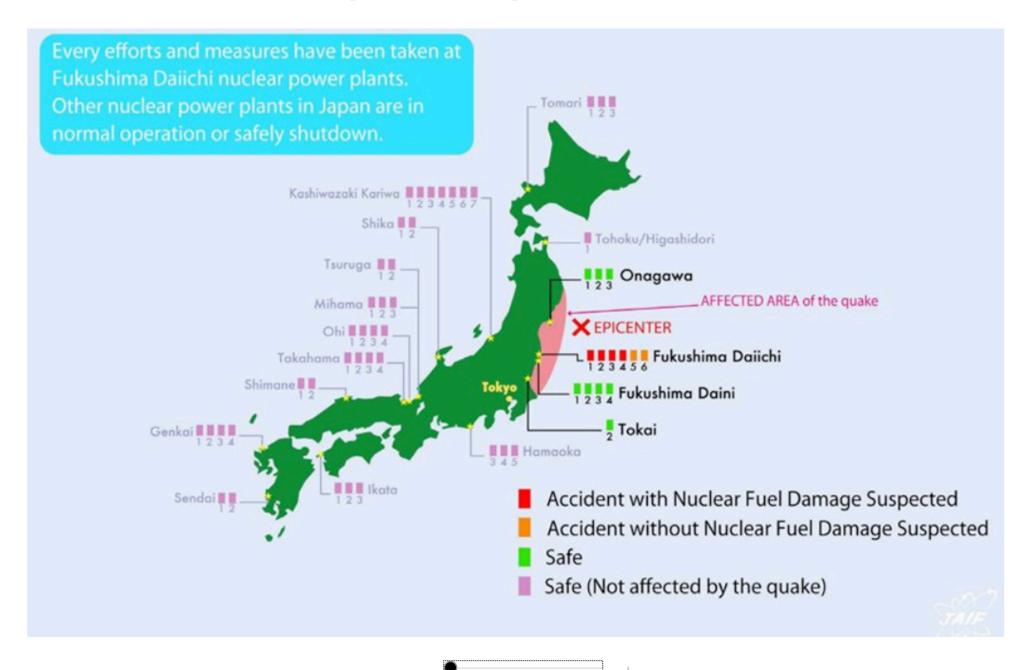


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2.9 \*\*\* 77 reviews

Nuclear power plant













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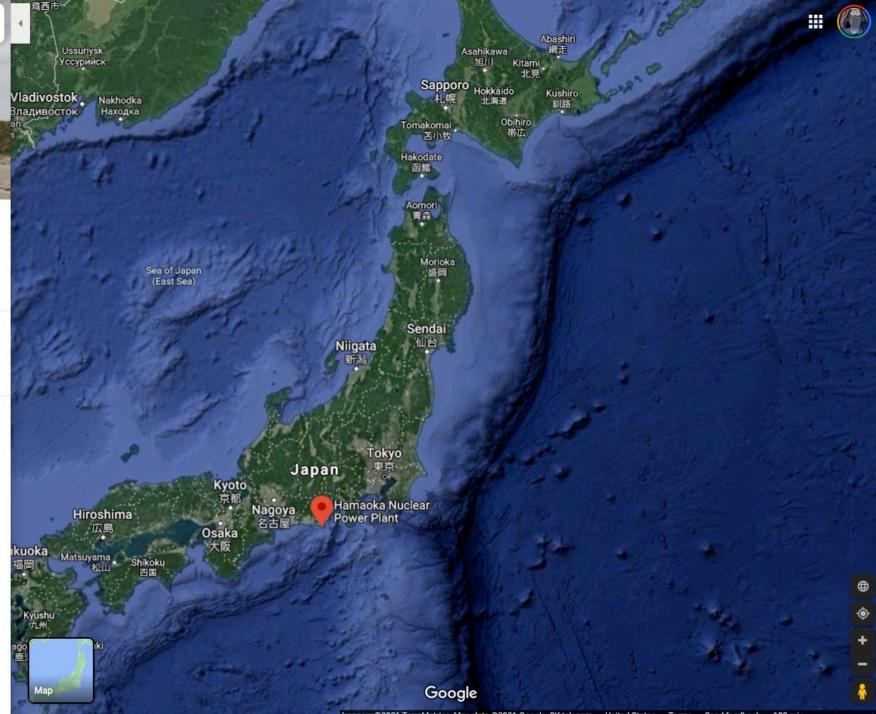
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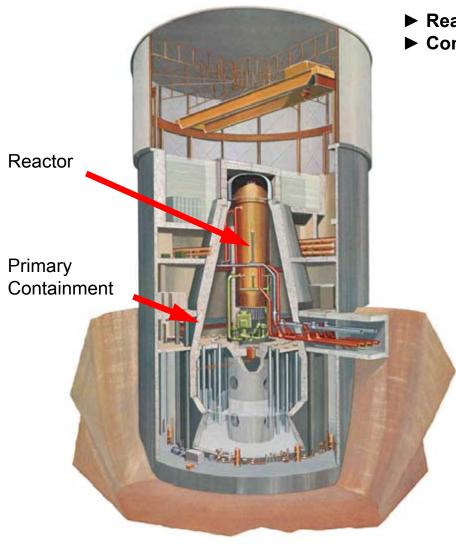
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# Design of Fukushima Daiichi Unit 6





GENERAL M ELECTRIC

► Reactor: BWR-5
► Containment: Mark-II

### **Reactor Pressure Vessel**

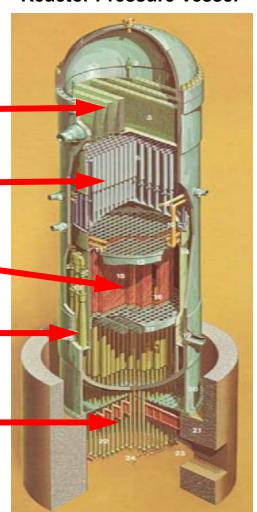
Steam Dryer

Water/Steam-Separator

Reactor Core Fuel Assemblies

Internal Jet Pumps

**Control Rods** 



EZ-4370

Sources: NRC, General Electric

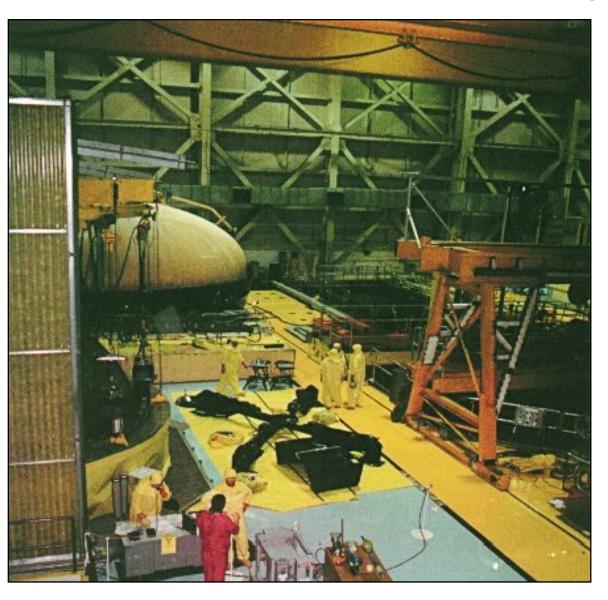
# **Service Floor of Fukushima Daiichi Unit 1**





# **Service Floor with Primary Containment Head**





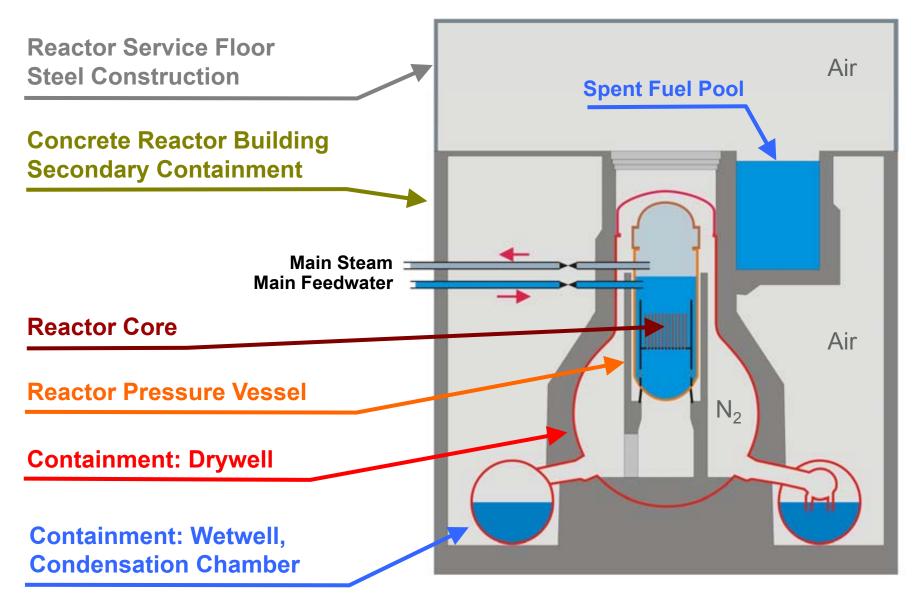
# **Reactor Pressure Vessel Head**





# **Plant Design**

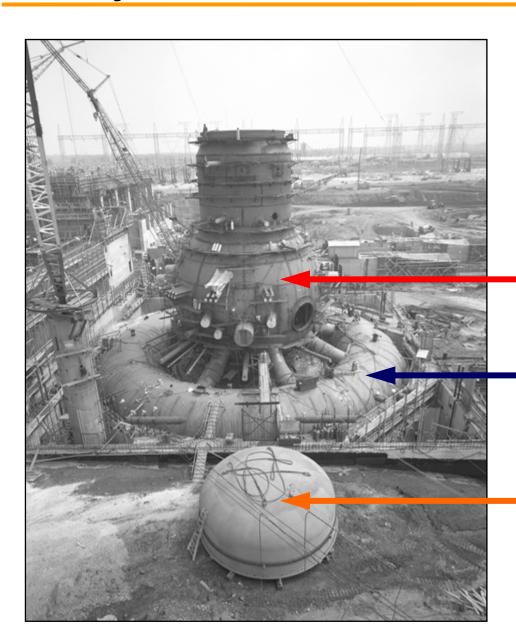




Source: AREVA NP, March 24, 2011

# **Primary Containment Construction Phase**





**Design: Mark-I** 

**Primary containment** 

**Pressure suppression pool** 

**Containment closure head** 

### **Plant Design**

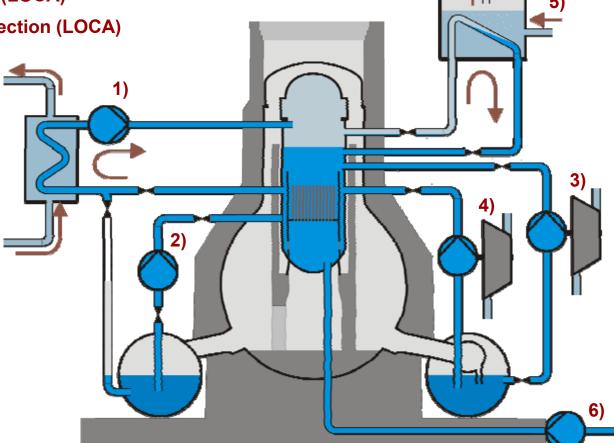


**Pump Needed** 

# **Emergency Core Cooling Systems of Different Units at Fukushima Daiichi**

- 1) Residual Heat Removal System
- 2) Low-Pressure Core Spray (LOCA)
- 3) High-Pressure Coolant Injection (LOCA)
- 4) Reactor Core Isolation Cooling (Unit 2/3: BWR-4)
- 5) Isolation Condenser (Unit 1: BWR-3)
- 6) Borating System

**Pump Needed** 

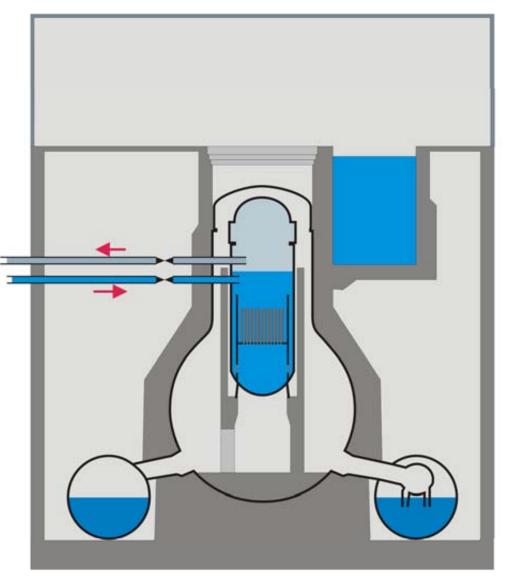




- ► March 11, 2011, 14:46 JST
  - Earthquake of magnitude 9.
  - The power grid in the northern part of Honshu (Japan) fails.
  - Reactors are mainly undamaged.

#### Automatic Scram

- Stop of power generation due to fission reaction.
- Further heat generation due to radioactive decay of fission products:
  - ► after scram ≈ 6 %
  - ► after 1 day ≈ 1 %
  - ► after 5 days ≈ 0.5 %





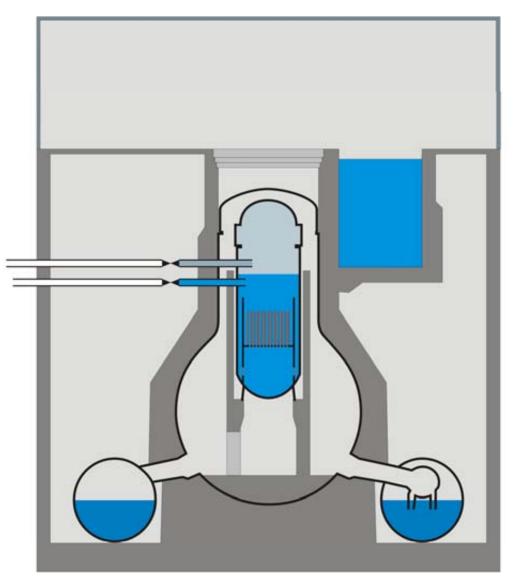
#### Containment Isolation

- Closing of all non-safety related penetrations of the containment.
- Turbine hall cut off.
- If containment isolation succeeds, an early large release of fission products is highly unlikely.

#### **▶** Start of Diesel Generators

 Emergency core cooling systems are supplied with electricity.

#### **▶** Stable Plant State



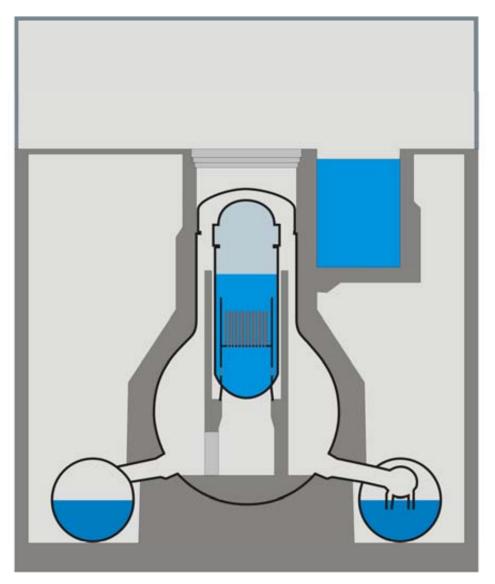


### ► March 11, 2011, 15:41

- Tsunami hits the plant site.
- Plant levee design for tsunami wave heights: 5.7 m
- Actual tsunami height: ≈ 14 m
- Flooding of diesel generators and/or essential service water buildings.

#### **▶** Station Blackout

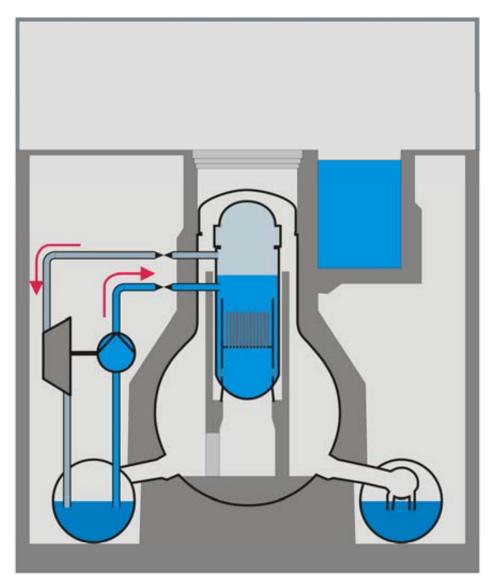
- Common cause failure of power supply.
- Only batteries are still available.
- Loss of all emergency core cooling systems, only the pump directly mechanically driven by a steam-turbine is available.





### ► Reactor Core Isolation Pump

- Steam from the reactor core drives a turbine,
- the turbine drives a pump,
- steam condensation in the wetwell,
- water from the wetwell is pumped into the reactor core.
- Requirements:
  - Battery power for steam turbine auxiliaries,
  - the temperature in the wetwell must be lower than 100 °C.
- ► As there is no heat removal from the reactor building, the work of the reactor core isolation pump is limited.





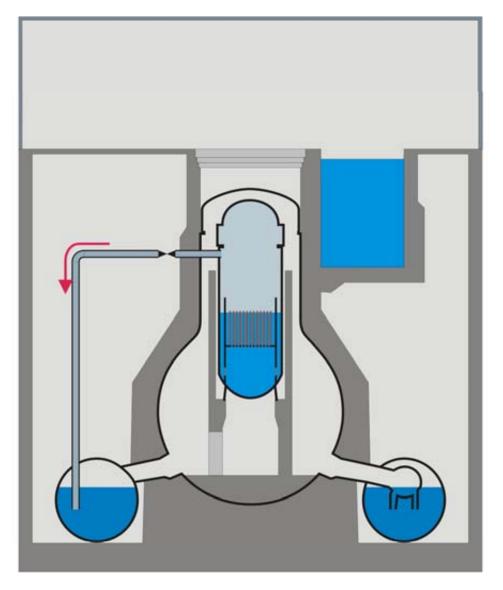
### ► Reactor Core Isolation Pump Stop

Unit 1: March 11, 16:36, batteries empty,

Unit 2: March 14, 13:25, pump failure,

Unit 3: March 13, 02:44, batteries empty.

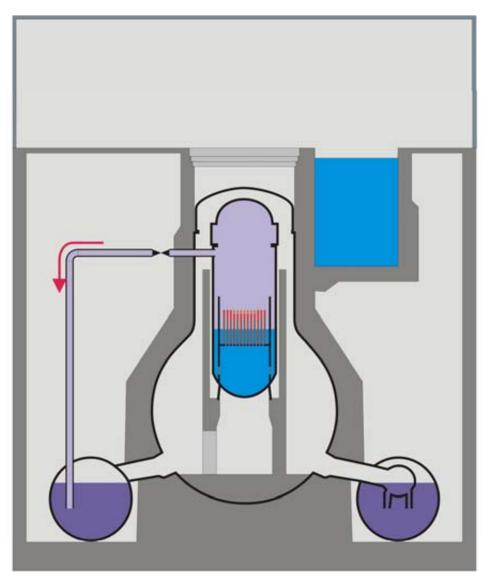
- ▶ Decay heat still produces steam in the reactor pressure vessel, leading to a pressure rise.
- ➤ Steam discharge into the wetwell due to steam relieve valve opening.
- ► Decreasing liquid level within the reactor pressure vessel.
- ➤ The measured liquid level is the "static" level. The actual swell level is higher due to steam bubbles in the liquid phase.





### **Core Heatup Phase**

- ► About 50 % of the core cooled by steam only.
- ► Cladding temperatures rise, but still no significant core damage.
- ► About 67 % of the core cooled by steam only.
  - Cladding temperatures exceed ≈ 900 °C.
  - Ballooning and/or bursting of claddings (local damages).
  - Release of volatile fission products (noble gases) from internal gaps between fuel pellets and claddings.



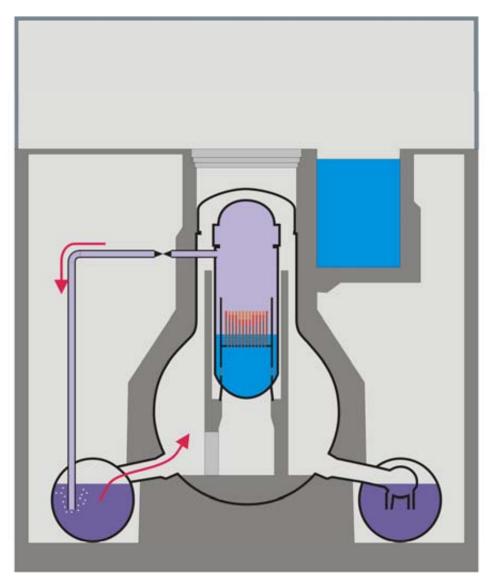


### **Temperature Escalation Phase**

- ► About 75 % of the core cooled by steam only.
  - Cladding temperatures exceed ≈ 1200 °C.
  - Start of significant zirconium oxidation in steam atmosphere.

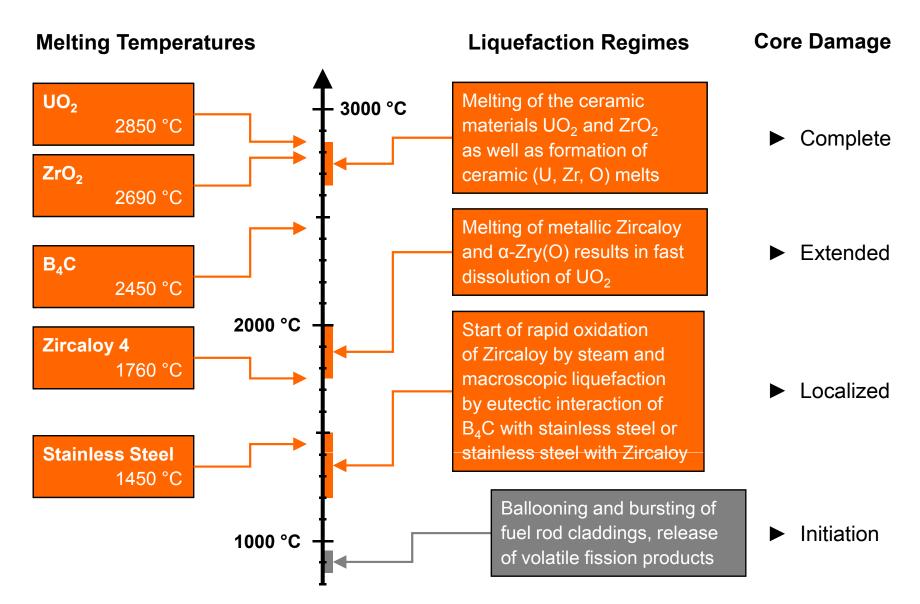
$$Zr + 2 H_2 0 \triangleright ZrO_2 + 2 H_2 + Heat$$

- Exothermal reaction leads to an additional core heatup.
- Oxidation of 1 kg of zirconium generates ≈ 44.2 g of hydrogen.
- Hydrogen production:
  - ► ≈ 300 to 600 kg in unit 1,
  - ► ≈ 300 to 1000 kg in units 2 & 3.
- Produced Hydrogen is pushed via the wetwell into the drywell.



# **Core Materials Liquefaction Regimes**





Source: KIT, GRS, 2011

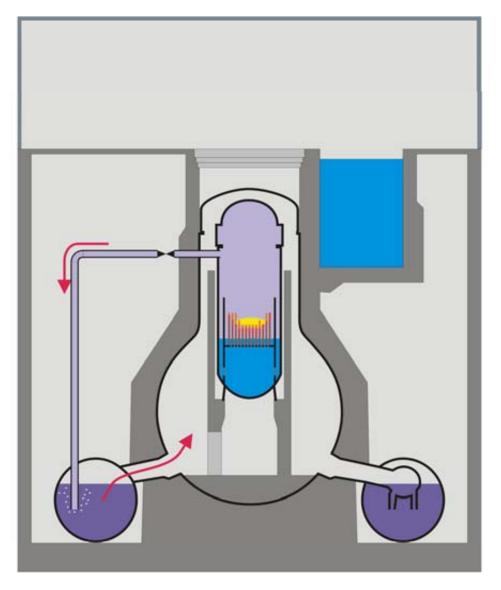


### **Core Melt Progression**

- ► At about 1800 °C (Units 1, 2, 3)
  - Melting of metallic cladding remnants and steel structures.
- ► At about 2500 °C (Units 1, 2)
  - Breakdown of fuel rods,
  - inside core debris bed formation.
- ► At about 2700 °C (Unit 1)
  - Melting of (U, Zr)O<sub>2</sub> eutectics.

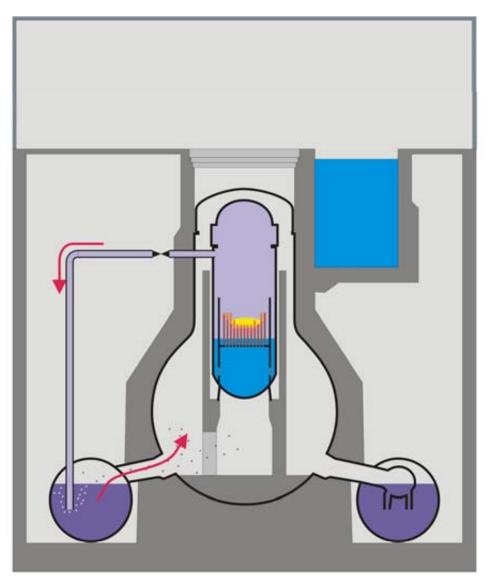
#### **Reflood Phase**

- ➤ **Seawater** supply stops the core melt progression in the three units.
  - **► Unit 1:** March 12, 20:20 **► 27** h without water.
  - ► Unit 2: March 14, 20:33 ► 7 h without water.
  - **▶ Unit 3:** March 13, 09:38 **▶** 7 h without water.





- ► Release of fission products during core melt progression:
  - Xenon, cesium, iodine, ...
  - Uranium and plutonium remain in the core.
  - Condensation of some fission products to airborne aerosols.
- ▶ Discharge through valves into the wetwell:
  - Pool scrubbing leads to partial aerosol capture in the water.
- ► Xenon and remaining aerosols enter the drywell:
  - Deposition of aerosols on surfaces leads to further air decontamination.





### **▶** Containment Safety Function

- Last barrier between fission products and environment.
- Wall thickness: ≈ 3 cm.
- Design pressure: 4 to 5 bar.

### ► Actual Pressures up to 8 bar

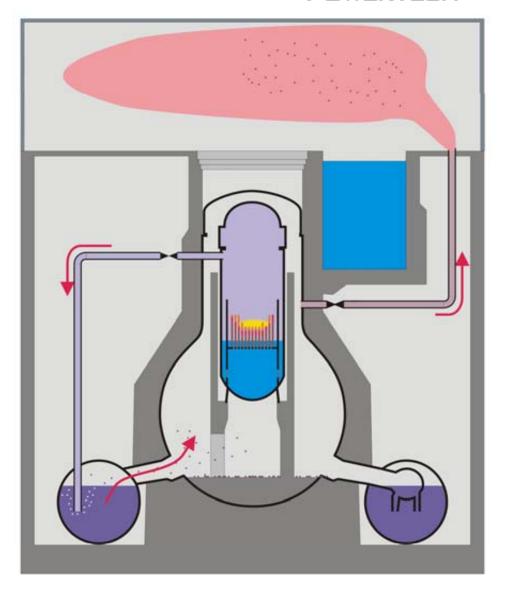
- Inert gas filling (nitrogen),
- hydrogen from core oxidation,
- boiling condensation chamber (like a pressure cooker).

### **▶** Containment Depressurization

• **Unit 1:** March 12, 04:00,

■ **Unit 2:** March 13, 00:00,

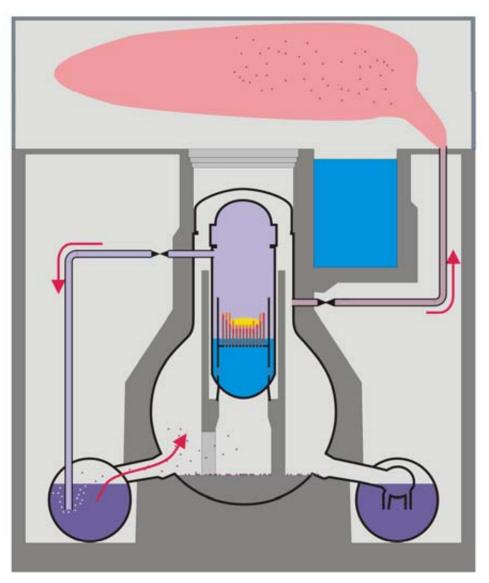
• **Unit 3:** March 13, 08:41.





### **Containment Depressurization**

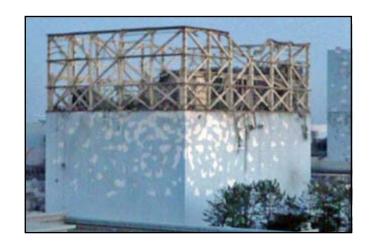
- ► Positive and negative aspects:
  - Removes energy from the containment (only way left),
  - reduces pressure to ≈ 4 bar,
  - release of
    - ► small amounts of aerosols (iodine, cesium ≈ 0.1 %),
    - ► all noble gases,
    - hydrogen.
- ► The gas mixture is released onto the reactor service floor.

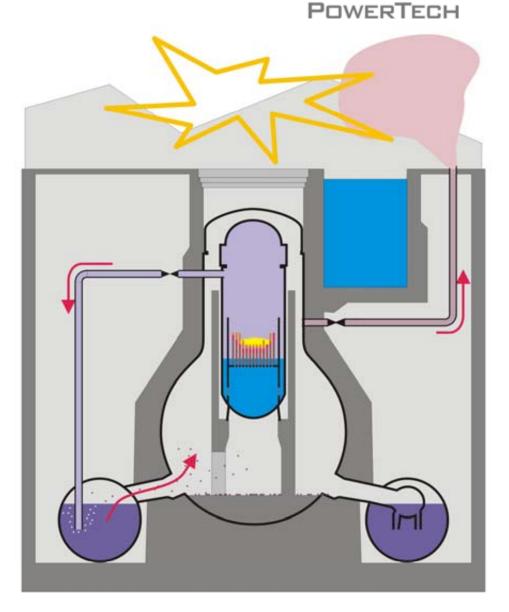




#### ▶ Units 1 and 3:

- No recombiners (?).
- Hydrogen explosion inside the reactor service floor.
- This leads to destruction of the steel-frame construction.
- Reinforced concrete reactor building remains undamaged.

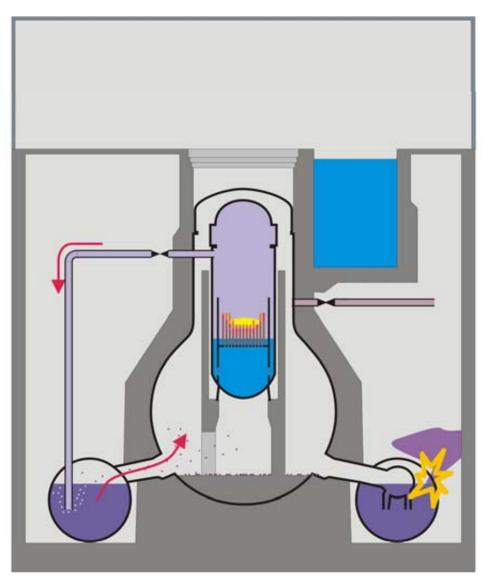






#### **▶** Unit 2:

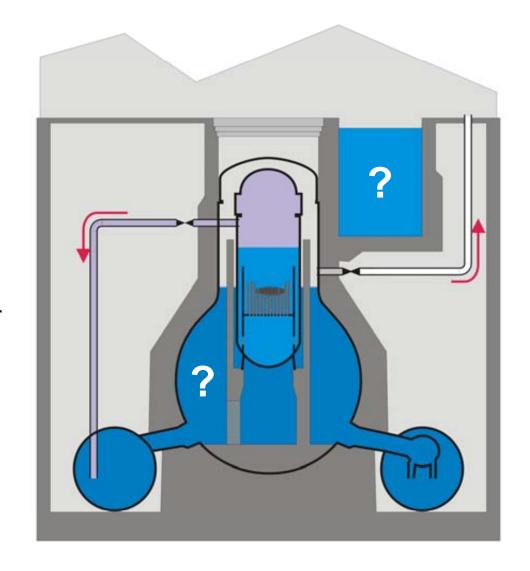
- Probable damage of drywell following a pressure increase within the reactor pressure vessel and containment.
- Highly contaminated water.
- Uncontrolled release of gas from the containment.
- Release of fission products.
- Temporary plant evacuation due to high local dose rates on the plant site.





#### ► Reactor Status as of March 24:

- Core damage in units 1, 2, 3.
- Damaged reactor buildings of units 1 to 4.
- Reactor pressure vessels of all units are fed with seawater or sweet water by mobile pumps.
- Estimates of General Electric indicate that about 45 tonnes of salt could have been injected into the reactor cores so far, with possible impacts on the reactor core coolability.

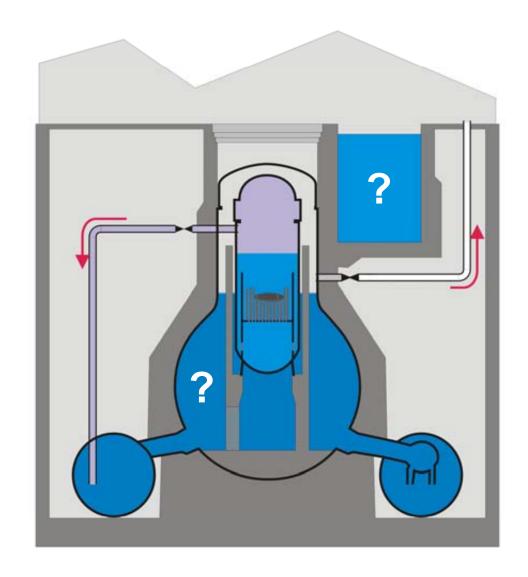


Source: AREVA NP, March 24, 2011



### ► Changes as of March 29:

- External power supply has been recovered for all reactors.
- Control rooms of units 1 and 3 have lighting, technicians test the functionality of the existing emergency feedwater pumps and will replace damaged pumps in the short term.
- Fresh water is supplied from some nearby hydro-reservoirs (tanks?), thus banning dangers of reduced cooling by salt crusts on the fuel rod surfaces and of reduced heat transfer in fuel ponds due to salt after sea water intrusion.



Source: AREVA NP, March 24, 2011

# Fukushima-Daiichi-1



### Central control room after lighting has been restored on March 25, 2011.



### **Spent Fuel Transfer Pools**

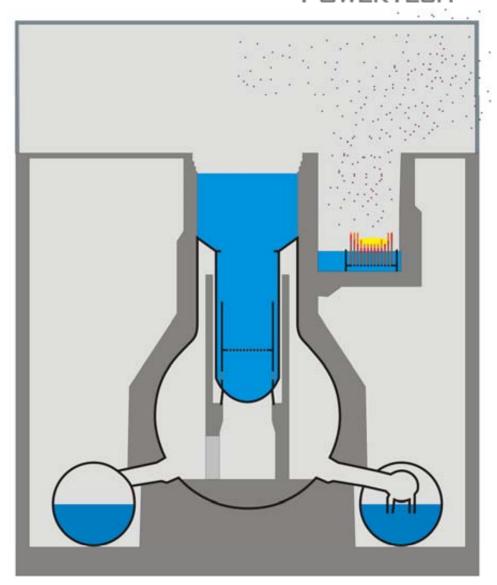


# ► Spent Fuel Stored in Pool on the Reactor Service Floor:

- The entire core of unit 4 had been stored in the spent fuel pool for maintenance reasons before the earthquake.
- Dry-out of spent fuel pools:
  - unit 4 in ten days,
  - other units in a few weeks.
- Leakage of the spent fuel pools due to earthquake?

### **▶** Consequences:

- Fuel melting "on fresh air",
- nearly no retention of fission products within the plant,
- possible large release.



### **Spent Fuel Transfer Pools & Shared Pool**



Unit	Number of Assemblies	Water m³	Power MW	Fresh Core	Cooling	Fuel Damage
1	292	1020	0.3	No	?	?
2	587	1425	1.0	No	Steam Plume	?
3	514	1425	0.7	No	Boiling	?
4	1331	1425	3.0	Yes	Pump Car	Major
5	946	1425	4.5	Probably	Diesel <sup>2</sup> )	No
6	876	1497	1.5	Probably	Diesel	No
S	6291 <sup>1</sup> )	?	?	No	Working	No

#### Fukushima-Daiichi

▶ Unit 1: 400 fuel rod assemblies,
▶ Units 2 to 5: 548 fuel rod assemblies,
▶ Unit 6: 764 fuel rod assemblies.

▶ Unit 3: Small number (32) of ten years old old mixed oxide (MOX) fuel assemblies

in spent fuel pool. No significant difference of plutonium inventory compared to other pools, since uranium fuel also contains plutonium, but old MOX fuel

contains higher amounts of Americium (more volatile than plutonium).

# **Unit 4 Spent Fuel Transfer Pool Cooling**



▶ 150 tonnes of sea water were poured into the spent fuel pool of unit 4 using a concrete pump car on March 22. This action took about three hours and was repeated over hours later.





► The concrete pump has a maximum capacity of 120 t/h, is equipped with an arm of 58 m maximum length and operated by 12 persons (remotely).

Source: TEPCO, March 22, 2011

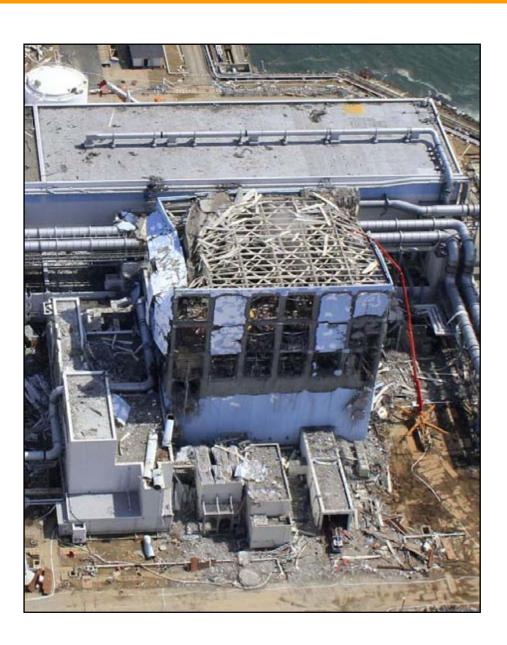
# **Unit 4 Spent Fuel Transfer Pool Cooling**





# **Unit 4 Spent Fuel Transfer Pool Cooling**



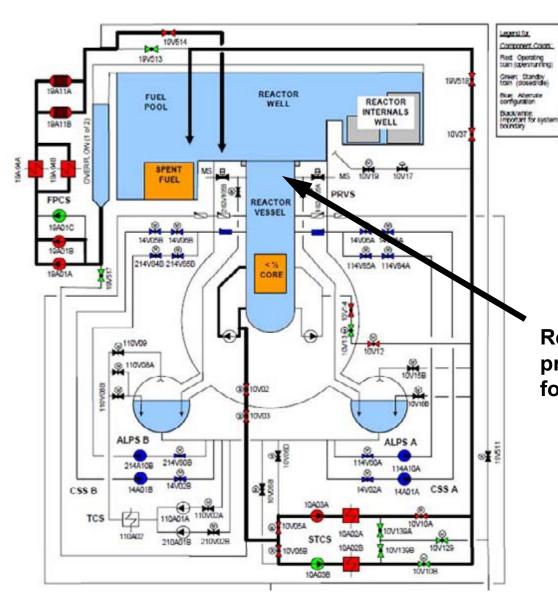


### April 4, 2011:

Four additional concrete pumps (62 m, 70m) are underway by Antonov airlift from Germany and USA.

# Fukushima Daiichi Refueling Cooling System







Reactor pressure vessel and primary containment are open for refueling.

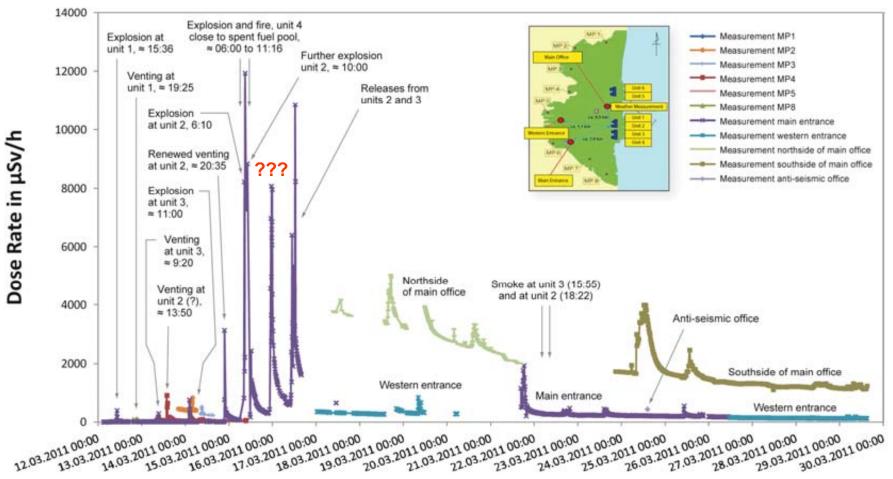
### Dose Rates at Fukushima Daiichi



#### Measured Dose Rates at Different Fukushima-Daiichi Locations



Data of Plant Operator TEPCO



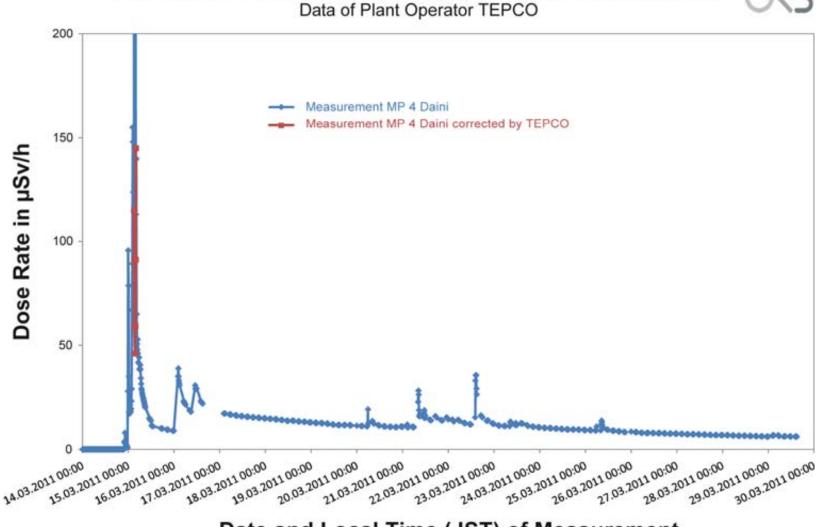
#### Date and Local Time (JST) of Measurement

### **Dose Rates at Fukushima Daini**



#### Measured Dose Rates at Different Fukushima-Daini Locations





### Date and Local Time (JST) of Measurement

### **Measures to Minimize Radiological Impacts**



### From Start of Emergency Procedures

- Evacuations according to risk within a 20 km radius.
- Core cooling recovery as far as possible by flooding of reactor cores based on
  - mobile diesel pumps and/or
  - recovery of external power supply,
    - ▶ successful for units 1 and 2 on March 20,
    - ▶ units 3 and 4 following.
- Spent fuel pool cooling recovery by helicopters and/or water cannons for unit 4.
  - Mobile diesel pumps and concrete pump cars for other units (?) and/or
  - recovery of external power supply,
    - ► successful for unit 1 on March 20,
    - ▶ units 2 to 4 following.

# Fukushima Daiichi, Status as of March 19, 2011



U	1	2	3	4	5	6
Core and fuel integrity	Damaged	Damaged	Damaged	No fuel in the reactor	Not Damaged	Not Damaged
Reactor Pressure Vessel Integrity	Unknown	Unknown	Unknown			
Containment Integrity	Not Damaged	Damage Suspected	Might be not damaged	Not Damaged	Not Damaged	Not Damaged
Reactor building integrity	Severely Damaged	Slightly Damaged	Severely Damaged	Severely Damaged	Open a vent hole on the rooftop for avoiding hydrogen explosion	Open a vent hole on the rooftop for avoiding hydrogen explosion
Water injection to core	Continuing (Seawater)	Continuing (Seawater)	Continuing (Seawater)	Not necessary	Not necessary	Not necessary
Water injection to Containment Vessel	Continuing (Seawater)	to be decided (Seawater)	Continuing (Seawater)	Not necessary	Not necessary	Not necessary
Fuel integrity in the spent fuel pool	Water injection to be considered	no info	level low - water injection	level low - preparing water injection	Pool temperature increasing	Pool temperature increasing

Quelle: AREVA NP, March 19, 2011

# Fukushima Daiichi, Status as of April 2, 2011



Unit	1	2	3	4	5	6	
Reactor Type	BWR-3	BWR-4	BWR-4	BWR-4	BWR-4	BWR-G	
Thermal Power	1380 MW <sub>th</sub>	2381 MW <sub>th</sub>	2381 MW <sub>th</sub>	2381 MW <sub>th</sub>	2381 MW <sub>th</sub>	3293 MW <sub>th</sub>	
Electric Power	460 MW <sub>e</sub>	784 MW <sub>e</sub>	784 MW <sub>e</sub>	784 MW <sub>e</sub>	784 MW <sub>e</sub>	1100 MW <sub>e</sub>	
Status before earthquake	In service ▶ auto shutdown	In service ▶ auto shutdown	In service ▶ auto shutdown	Outage	Outage	Outage	
Core and fuel integrity	Damaged	Severe Damage	Damaged	No fuel in reactor			
Reactor outside temperatures	250 °C 128 °C	180 °C 450 °C	90 °C (?) 150 °C	Not applicable due to			
Containment integrity	Pressure of 2 bar, flooded?	Pressure of 1 bar, damage suspected	Pressure of 1 bar, damage suspected	outage plant status	Cold Shutdown  Being maintained by existing plant equipment and offsite electrical power		
AC Power	Yes plus control room light	Yes plus control room light	Yes plus control room light	Yes plus control room light			
Building	Severe damage	Slight damage	Severe damage	Severe damage			
Reactor water level	40 % of fuel uncovered	30 % of fuel uncovered	50 % of fuel uncovered	Not applicable due to			
Reactor pressure	About 5 bar, decreasing	Less than 1 bar (?)	1 bar	outage plant status			
Status of spent fuel pool	Fresh water by concrete pump car	58 °C, sea water and fresh water by pool cooling	Sea water and fresh water by concrete pump car	Sea water and fresh water by concrete pump car	32 ° C, pump repaired	24 °C	

# **INES-Classification as of April 12, 2011**



### **Fukushima Daiichi**

Unit	<b>INES-Level</b>			
1	7			
2	7			
3	7			
4	3			
5	not specified			
6	not specified			

### **Fukushima Daini**

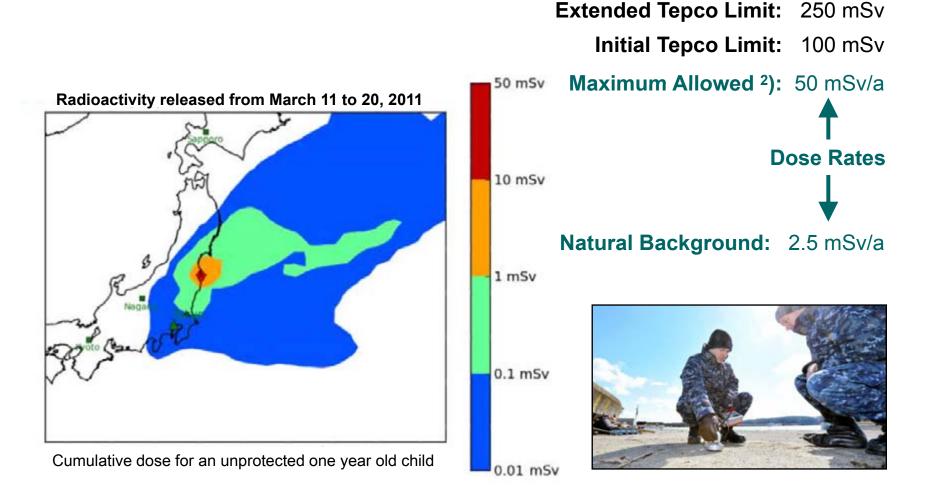
Unit	<b>INES-Level</b>
1	3
2	3
3	not specified
4	3



Sources: IAEA, GRS, April 12, 2011



Lethal Dose 1): 5000 mSv



# Status of Other Plants as of April 4, 2011



Plant	Status	Diesels, pumps	Venting	Offsite power	Damages
Fukushima Daini Units 1 to 4	cold shutdown	?	prepared	available	tsunami?
Onagawa Units 1 to 3	cold shutdown	at least one, one pump	no	available	fire in unit 1, extinguished, no tsunami damage due to the higher ground level
Tokai cold shutdown		one of three, one emergency pump	no	?	safe status
Rokkasho Reprocessing	none	available	not required	?	not reported

# **Open Questions**



- ► Reasons for explosion in reactor building of Fukushima Daiichi unit 4?
- ► Status of melted reactor cores?
- ► Status of pool inventories?
- **▶** Details of release history?
- ► Venting in Fukushima Daini?
- ▶ Draining of trenches?
- ► Reasons for obviously having ignored the tsunami data base?
- ► Recriticality in Fukushima Daiichi unit 2? (according to soil samples ► might explain radioactivity spike on March 16, 2011)



- ► Tentative by April 4, 2011
  - 4 persons dead (2, earthquake, stack cabin in Fukushima Daiini),
  - 2 persons missing (found on April 3 as having been drowned),
  - 20+ persons injured (mostly by Hydrogen exlosions),
  - less than 20 persons exposed to radiation doses < 250 mSv, (including 3 workers who tried to lay cables in the flooded unit 2 basement on April 1).
  - 0 persons exposed to radiation doses > 250 mSv
     (i.e. one additional late cancer case out of 100 persons).



### Design basis for nuclear power plants in Japan:

- ► Incident rate of one earthquake within a 50 000 years period.
- ► Incident rate of one large ¹) tsunami within a **30 years** period.

### Design basis for nuclear power plants in Germany:

▶ Incident rate of one earthquake within a 100 000 years period in combination with relevant flood water heights to be presumed.

### **Contact for Questions and Remarks**



# Dr.-Ing. Ludger Mohrbach ludger.mohrbach@vgb.org

VGB PowerTech e.V. Klinkestraße 27 - 31, 45136 Essen, Germayn Telefon: +49-(0)2 01-81 28-0 (Zentrale) Telefax: +49-(0)2 01-81 28-3 50

Vertretungsberechtigter Vorstand: Prof. Dr. Gerd Jäger Registergericht: Amtsgericht Essen Registernummer: VR 1788

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